# Isoelectronic Homologues and Isomers: Tropolone, 5-Azatropolone, 1-H-Azepine-4,5-dione, Saddle Points, and Ions ${ }^{\dagger}$ 

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#### Abstract

Computational studies of 1264 -electron homologues and isomers of tropolone in the $S_{0}$ electronic ground state are reported. Three minimum-energy structures, tropolone ( Tp ), 5 -azatropolone ( 5 Azt ), and $5-\mathrm{H}-5-$ azatropolonium $\left(5 \mathrm{AztH}^{+}\right)$, have an internal H -bond and planar $C_{s}$ geometry, and three, tropolonate ( $\mathrm{TpO}^{-}$), 5 -azatropolonate ( $5 \mathrm{AzO}^{-}$), and 1- H -azepine-4,5-dione (45Di), lack the H -bond and have twisted $C_{2}$ geometry. All 6 substances have an equal double-minimum potential energy surface and a saddle point with planar $C_{2 v}$ geometry. The energy for the gas-phase isomerization reaction $45 \mathrm{Di} \rightarrow 5 \mathrm{Azt}$ is near $+4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the MP4(SDQ)/6-311++G(df,pd)//MP2/6-311++G(df,pd) (energy//geometry) theoretical level and around -20 $\mathrm{kJ} \mathrm{mol}^{-1}$ at lower theoretical levels. The dipole moments computed for 45Di and 5Azt are 9.6 and 2.1 D , respectively, and this large difference contributes to MO-computed free energies of solvation that strongly favor-as experimentally observed-45Di over 5Azt in chloroform solvent. The MO-computed energy for the gas-phase protonation reaction $45 \mathrm{Di}+\mathrm{H}^{+} \rightarrow 5 \mathrm{AztH}^{+}$is $-956.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$, leading to $926.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ as the estimated proton affinity for 45Di at 298 K and 1 atm . The intramolecular dynamical properties predicted for 5 Azt and $5 \mathrm{AztH}^{+}$parallel those observed for tropolone. They are therefore expected to exhibit spectral tunneling doublets. Once they are synthesized, they should contribute importantly to the understanding of multidimensional intramolecular H transfer and dynamical coupling processes.


## 1. Introduction

In 1945, Dewar reported that the chemistry of stipitatic acid could be explained by taking as its structure a carboxy-labeled derivative of the then unknown substance 2 -hydroxy-2,4,6-cycloheptatriene-1-one. The latter was seen as of sufficient potential importance that Dewar proposed for it the name tropolone ${ }^{1}$ (Tp, Figure 1A). Its chemistry, nonbenzenoid aromaticity, and apparent nonrigid character made tropolone and its derivatives subjects of immediate interest. ${ }^{2,3}$ The experimental discovery of vibrational state-specific spectroscopic tunneling doublets in its ultraviolet (UV) vibronic ${ }^{4-10}$ and infrared (IR) vibrational ${ }^{11-15}$ spectra generated quantitative interest in its multidimensional tunneling properties. ${ }^{16-18}$ Dynamical characteristics occurring in this 15 -atom molecule are also expected to occur in more complex systems-perhaps even extending to the behaviors in enzyme-substrate complexes of H transfer enzymes. ${ }^{19-21}$ The current broad general interest of tropolone and tropolonoids in chemistry and biochemistry can be seen by pairing the keyword "tropolone" with others such as "fungi", "bacteria", "cancer", or "cholchicine" in a web search. Many nitrogen heterocyclic compounds, including azepines, possess biological activity. While no article reporting the successful synthesis of 5 -azatropolone ( 5 Azt , Figure 1B) was located, its isomer 1-H-azepine-4,5-dione (45Di, Figure 2C) was reported by Bonacorso, Mack, and Effenberger. ${ }^{22}$

The purpose of the present research is to compare properties computed for the $\mathrm{S}_{0}$ electronic ground states of the 12 64 -electron isoelectronic tropolonoid configurations shown in Figures 1 and 2. The stable structures, 3 with internal H-bonds (Figure 1, $C_{s}$ point group symmetry) and 3 without (Figure 2, twisted $C_{2}$ point group symmetry), each have equal double-

[^0]minimum potential energy surfaces (PESs) and saddle point configurations with $C_{2 v}$ point group symmetry. Although only Tp , tropolonate ion $\left(\mathrm{C}_{7} \mathrm{H}_{5} \mathrm{O}_{2}^{-}, \mathrm{TpO}^{-}\right)$, and 45 Di are presently known experimentally, results computed for the set of 12 configurations are usefully compared with each other. Immediate goals of this computational research are as follows: (a) to examine prospects for the experimental preparation of 5 Azt , $5 \mathrm{AztH}^{+}$, and $5 \mathrm{AzO}^{-}$; (b) to compare a few representative computed properties of the isoelectronic configurations sketched in Figures 1 and 2, structures so similar and yet so different; (c) to provide information useful to the analysis of vibrational state-specific spectroscopic tunneling structures observed for tropolone; and (d) to survey the nature of intramolecular dynamical behavior expected for 5 Azt and $5 \mathrm{AztH}^{+}$. The computational methods are summarized in section 2. General comparative behavioral overviews are provided through the geometries (section 3) and charge distributions (section 4). Isomerization and protonation energetics are considered in sections 5-7. Vibrational spectra are briefly introduced in section 8 , and a concluding discussion appears in section 9.

## 2. Computational Methods

Ab initio molecular orbital (MO) and density functional computations were performed on the molecules using the Gaussian 98 codes. ${ }^{23}$ Theoretical levels reaching the MP2/6$311++\mathrm{G}(\mathrm{df}, \mathrm{pd})$ level were used to compute fully optimized geometries at the minimum-energy and saddle point (SP) critical points-whereat energy values were recomputed at the MP4(SDQ) level. Critical point properties were verified using "MP2/ GEN" optimizations accompanied by computed (harmonic) vibrational spectra. The MP2/GEN computational level is favored in this work, because, without scaling, it yields an ordering and placement of the fundamental vibrations (in
(A)


Tp
(B)


5Azt
(C)


5AztH ${ }^{+}$
(D)

(a) (b) (c)

Figure 1. Minimum-energy structures for the isoelectronic molecules with $C_{s}$ point group symmetry and an internal H-bond: (A) tropolone, Tp ; (B) 5-azatropolone, 5 Azt ; (C) $5-\mathrm{H}-5$-azatropolonium, $5 \mathrm{AztH}^{+}$. The three structures in (D) represent saddle point (SP) configurations with $C_{2 v}$ point group symmetry: (a) $\mathrm{TpSP}[\mathrm{C}(5) \mathrm{H}$ replaces $\mathrm{N}(5)]$; (b) 5 AztSP ; and (c) $5 \mathrm{AzHH}^{+} \mathrm{SP}[\mathrm{N}(5) \mathrm{H}$ replaces $\mathrm{N}(5)]$. The atom numbering for tropolone is used for all substances for convenience in Tables 1 and 2. (E) A diagram for use with Table 3 illustrating the in-plane axis orientations for the "standard configuration" of Gaussian 98: on left, approximate $X Y$ axis orientation for $\mathrm{Tp}, 5 \mathrm{Azt}$, and $5 \mathrm{AztH}^{+}$; on right, $Y Z$ axis orientation for the nine configurations having $C_{2}$ or $C_{2 v}$ point group symmetries. All coordinate origins occur at the center of nuclear charge.
(A)

$\mathrm{TpO}^{-}$
(B)

$5 \mathrm{AzO}^{-}$
(C)


45Di
(D)

(a) (b) (c)

Figure 2. Minimum-energy structures for the isoelectronic molecules with $C_{2}$ point group symmetry: (A) tropolonate, $\mathrm{TpO}^{-}$; (B) 5 -azatropolonate, $5 \mathrm{AzO}^{-}$; and (C) 1 H -azepine-4,5-dione, 45Di. The three structures in (D) represent saddle point configurations with $C_{2 v}$ point group symmetry: (a) $\mathrm{TpO}^{-} \mathrm{SP}[\mathrm{C}(5) \mathrm{H}$ replaces $\mathrm{N}(5)]$; (b) $5 \mathrm{AzO}^{-} \mathrm{SP}$; and (c) $45 \mathrm{DiSP}[\mathrm{N}(5) \mathrm{H}$ replaces $\mathrm{N}(5)]$. While the formal name for 45Di is used, in discussion the tropolone atom numbering is used. The numbers give out-of-plane atom displacements ( $\AA$ ) for the twisted structures-see footnote b of Table 2. Figure 1E shows the "standard" coordinate axis orientations.
harmonic approximation) that are in significantly closer agreement with the experimental IR spectra for $\mathrm{Tp}(\mathrm{OH})$ and $\mathrm{Tp}(\mathrm{OD})$ than either MP2-level computations using smaller basis sets or B3LYP/GEN level computations. Detailed comparisons of the MP2/GEN and B3LYP/GEN fundamentals with experimental data (which includes numerous assignable overtone, combination, and hot band transitions) appear in Redington et al. ${ }^{12}$ In the present article including N atoms, the GEN basis is defined with $6-311 \mathrm{G}(\mathrm{df})$ functions for N and $\mathrm{CO}, 6-311 \mathrm{G}(\mathrm{pd})$ for the H in NH and in OHO , and $6-311 \mathrm{G}(\mathrm{d}, \mathrm{p})$ for CH . The spectral computations utilized numerical second derivatives. MP2/GENlevel vibrational spectra computed for Tp and TpSP were previously used ${ }^{12,16}$ to help analyze the experimental vibrational spectra and tautomerization properties of the $\mathrm{Tp}(\mathrm{OH})$ and Tp (OD) isomers. For comparison with the results of the described high-level computations, in the present work some of the geometry optimizations and spectral computations were also performed at lower theoretical levels, cf. MP2/6-31G** and B3LYP/6-31G**. Proton affinities at $25{ }^{\circ} \mathrm{C}$ and 1 atm were estimated using MP4(SDQ)/6-311++G(df,pd)//MP2/6-311++G(df,pd) (energy//geometry) electronic energies with data from MP2/GEN-computed harmonic frequencies.

## 3. Geometries

It is known through extensive comparisons with experimental data that geometrical parameters are among the most reliable of MO-computed results. Observed data are sparse for the present molecules, but bond distances and bond angles computed
at the MP2/6-311++G(df,pd) level are nevertheless usefully intercompared, and Tables 1 and 2 provide an overview of structural differences arising within the isoelectronic systems. At this theoretical level, Tp, 5Azt, and $5 \mathrm{AztH}^{+}$are planar with $C_{s}$ point group symmetry. $\mathrm{TpO}^{-}, 5 \mathrm{AzO}^{-}$, and 45 Di are twisted with $C_{2}$ point group symmetry and OCCO torsional angles of $11.87^{\circ}, 13.34^{\circ}$, and $22.93^{\circ}$, respectively (the out-of-plane ( $Z$ ) displacements for the atoms are presented in Figure 2A-C]. All six SP configurations are planar with $C_{2 v}$ point group symmetry.

The CH and NH bond distances are listed in lines 13-17 $(3 \mathrm{H}-7 \mathrm{H})$ of Table 1 . The CH bond lengths within a molecule have a maximum spread of $0.004 \AA\left(5 \mathrm{Azt}, 5 \mathrm{AzO}^{-}\right.$, and $5 \mathrm{AzO}^{-}$ SP). Maximum spreads for specific CH bond lengths among all the molecules are $0.008 \AA(4 \mathrm{H}$, line 14) and $0.009 \AA(6 \mathrm{H}$, line 16). The NH bond lengths are $1.016 \AA$ for $5 \mathrm{AztH}^{+}$(line 15) and $1.008 \AA$ for 45Di. The spread of the average CO bond distances among molecules with H-bonds is $0.034 \AA$, versus $0.023 \AA$ for molecules without H -bond. The average CO distance is $0.039 \AA$ longer for the molecules with H-bonds than for those without H -bonds. For molecules with H -bonds, the average CO distance decreases by 0.004 or $0.005 \AA$ upon SP formation; without H -bonds, the CO distances do not change. Line 1 shows that the $\mathrm{O} \cdots \mathrm{O}$ distance (the most variable of all heavy atom distances) decreases by $0.175 \AA$ on average for molecules with the H -bond, versus $0.036 \AA$ on average for molecules without an H -bond. The $\mathrm{O} \cdots \mathrm{O}$ distances in the SPs with $\mathrm{O} \cdots \mathrm{H} \cdots \mathrm{O}$ are $0.387,0.408$, and $0.378 \AA$ shorter, and the

TABLE 1: Bond Distances ( $\AA$ ) Optimized at the MP2/6-311 $++\mathbf{G}(\mathbf{d f}, \mathrm{pd})$ Level

|  |  | Tp | 5Azt | $5 \mathrm{AztH}^{+}$ | TpSP | 5AztSP | 5AztH ${ }^{+}$SP | $\mathrm{TpO}^{-}$ | $5 \mathrm{AzO}^{-}$ | 45Di | TpO ${ }^{-} \mathrm{SP}$ | $5 \mathrm{AzO}^{-} \mathrm{SP}$ | 45DiSP |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\mathrm{O} \cdots \mathrm{HO}$ | $\bigcirc \mathrm{O} \cdots \mathrm{HO}$ | $\mathrm{O} \cdots \mathrm{HO}$ | OHO | OHO | OHO | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ |  |
|  |  | CH | N | NH | CH | N | NH | CH | N | NH | CH | N | NH |  |
|  | $\mathrm{ID}^{a}$ | $C_{s}$ | $C_{s}$ | $C_{s}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2}{ }^{\text {b }}$ | $C_{2}{ }^{\text {b }}$ | $C_{2}{ }^{\text {b }}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2 v}$ | bond type |
| 1 | $\mathrm{O} \cdots \mathrm{O}$ | 2.458 | 2.479 | 2.477 | 2.294 | 2.208 | 2.297 | 2.704 | 2.733 | 2.732 | 2.681 | 2.706 | 2.675 | $\mathrm{O} \cdots \mathrm{O}$ |
| 2 | 10 | 1.251 | 1.245 | 1.228 | 1.285 | 1.278 | 1.255 | 1.245 | 1.240 | 1.222 | 1.245 | 1.240 | 1.222 | CO |
| 3 | 2 O | 1.326 | 1.321 | 1.289 | 1.285 | 1.278 | 1.255 | 1.245 | 1.240 | 1.222 | 1.245 | 1.240 | 1.222 | CO |
| 4 | 1 OH | 1.721 | 1.760 | 1.785 | 1.221 | 1.224 | 1.231 |  |  |  |  |  |  | $\mathrm{O} \cdots \mathrm{H}$ |
| 5 | 2 OH | 0.994 | 0.992 | 0.997 | 1.221 | 1.224 | 1.231 |  |  |  |  |  |  | OH |
| 6 | 12 | 1.472 | 1.474 | 1.504 | 1.472 | 1.475 | 1.510 | 1.520 | 1.518 | 1.535 | 1.524 | 1.521 | 1.542 | CC |
| 7 | 23 | 1.383 | 1.377 | 1.390 | 1.403 | 1.400 | 1.410 | 1.444 | 1.443 | 1.463 | 1.444 | 1.443 | 1.462 | CC |
| 8 | 34 | 1.403 | 1.412 | 1.384 | 1.389 | 1.393 | 1.370 | 1.388 | 1.386 | 1.356 | 1.388 | 1.386 | 1.356 | CC |
| $9^{c}$ | 45 | 1.383 | $1.316^{\mathrm{N}}$ | $1.336{ }^{\text {N }}$ | 1.396 | $1.335^{\mathrm{N}}$ | $1.357^{\mathrm{N}}$ | 1.397 | $1.342^{\mathrm{N}}$ | $1.368^{\mathrm{N}}$ | 1.397 | $1.342^{\mathrm{N}}$ | $1.367{ }^{\text {N }}$ | CC or CN |
| $10^{c}$ | 56 | 1.409 | $1.356{ }^{\mathrm{N}}$ | $1.375^{\mathrm{N}}$ | 1.396 | $1.335^{\mathrm{N}}$ | $1.357{ }^{\text {N }}$ | 1.397 | $1.342^{\mathrm{N}}$ | $1.368^{\text {N }}$ | 1.397 | $1.342^{\mathrm{N}}$ | $1.367{ }^{\text {N }}$ | CC or CN |
| 11 | 67 | 1.378 | 1.376 | 1.359 | 1.389 | 1.393 | 1.370 | 1.388 | 1.386 | 1.356 | 1.388 | 1.386 | 1.356 | CC |
| 12 | 71 | 1.434 | 1.433 | 1.443 | 1.403 | 1.400 | 1.410 | 1.444 | 1.443 | 1.463 | 1.444 | 1.443 | 1.462 | CC |
| 13 | 3H | 1.086 | 1.086 | 1.085 | 1.086 | 1.086 | 1.085 | 1.089 | 1.089 | 1.085 | 1.089 | 1.089 | 1.085 | CH |
| 14 | 4H | 1.086 | 1.090 | 1.085 | 1.087 | 1.089 | 1.085 | 1.091 | 1.093 | 1.085 | 1.091 | 1.093 | 1.085 | CH |
| $15^{c}$ | 5H | 1.085 |  | $1.016^{\mathrm{N}}$ | 1.085 |  | $1.015^{\mathrm{N}}$ | 1.087 |  | $1.008^{\mathrm{N}}$ | 1.087 |  | $1.008^{\mathrm{N}}$ | CH or NH |
| 16 | 6H | 1.087 | 1.088 | 1.084 | 1.087 | 1.089 | 1.085 | 1.091 | 1.093 | 1.085 | 1.091 | 1.093 | 1.085 | CH |
| 17 | 7H | 1.087 | 1.086 | 1.085 | 1.086 | 1.086 | 1.085 | 1.089 | 1.089 | 1.085 | 1.089 | 1.089 | 1.085 | CH |

${ }^{a}$ Numbers refer to ring atoms $1-7$ according to the tropolone scheme in Figure 1A. Bond types are shown in the right-most column. ${ }^{b}$ Out-ofplane ( $Z$ coordinate) displacements for the atoms in molecules with $C_{2}$ symmetry $\left(\mathrm{TpO}^{-}, 5 \mathrm{AzO}^{-}\right.$, and 45 Di ) are shown in Figure $2 .{ }^{c}$ Superscript N in lines 9,10 , and 15 indicates the bond incorporates an N atom.

TABLE 2: Bond Angles (deg) Optimized at the MP2/6-311++G(df,pd) Level

|  |  | Tp | 5Azt | $5 \mathrm{AztH}^{+}$ | TpSP | 5AztSP | 5 $\mathrm{AztH}^{+} \mathrm{SP}$ | TpO ${ }^{-}$ | $5 \mathrm{AzO}^{-}$ | 45Di | $\underline{\mathrm{TpO}}{ }^{-} \mathrm{SP}$ | $\underline{5 \mathrm{AzO}^{-} \mathrm{SP}}$ | 45DiSP |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\overline{\mathrm{O} \cdots \mathrm{HO}}$ | $\overline{\mathrm{O} \cdots \mathrm{HO}}$ | $\overline{\mathrm{O} \cdots \mathrm{HO}}$ | OHO | OHO | OHO | $\overline{\mathrm{O}} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | O..O | $\mathrm{O} \cdots \mathrm{O}$ | O $\cdots \mathrm{O}$ |  |
|  |  | CH | N | NH | CH | N | NH | CH | N | NH | CH | N | NH | bond |
|  | $\mathrm{ID}^{a}$ | $C_{s}$ | $C_{s}$ | $C_{s}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2}{ }^{\text {b }}$ | $C_{2}{ }^{\text {b }}$ | $C_{2}{ }^{\text {b }}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2 v}$ | type |
| 1 | OHO | 127.65 | 126.26 | 123.30 | 140.03 | 139.70 | 137.91 |  |  |  |  |  |  | OHO |
| 2 | O12 | 114.34 | 114.87 | 113.94 | 108.67 | 108.78 | 108.28 | 118.15 | 119.02 | 118.43 | 117.69 | 118.55 | 117.61 | OCC |
| 3 | 12 O | 110.66 | 111.28 | 111.50 | 108.67 | 108.78 | 108.28 | 118.15 | 119.02 | 118.43 | 117.69 | 118.55 | 117.61 | CCO |
| 4 | 2 OH | 100.66 | 101.29 | 103.77 | 91.32 | 91.37 | 92.77 |  |  |  |  |  |  | COH |
| 5 | 123 | 130.38 | 128.64 | 129.32 | 127.77 | 126.17 | 127.17 | 122.12 | 120.07 | 121.13 | 123.01 | 121.11 | 122.86 | CCC |
| 6 | 234 | 128.86 | 128.83 | 128.08 | 128.16 | 128.05 | 127.23 | 133.69 | 134.07 | 131.87 | 134.13 | 134.58 | 132.94 | CCC |
| $7^{c}$ | 345 | 129.12 | $132.71{ }^{\text {N }}$ | $129.48^{\text {N }}$ | 129.79 | $133.25{ }^{\text {N }}$ | $129.68{ }^{\text {N }}$ | 130.12 | $133.99^{\text {N }}$ | $129.63^{\mathrm{N}}$ | 130.28 | $134.18{ }^{\text {N }}$ | $129.92^{\text {N }}$ | $\begin{array}{r} \mathrm{CCC} \text { or } \\ \mathrm{CCN} \end{array}$ |
| $8^{c}$ | 456 | 127.82 | $124.25^{\text {N }}$ | $131.01^{\mathrm{N}}$ | 128.56 | $125.05^{\mathrm{N}}$ | $131.05^{\mathrm{N}}$ | 125.16 | $120.20^{\text {N }}$ | $128.56{ }^{\text {N }}$ | 125.16 | $120.25^{\text {N }}$ | $128.56{ }^{\text {N }}$ | CCC or CNC |
| $9^{c}$ | 567 | 130.18 | $133.32{ }^{\text {N }}$ | $129.16^{\text {N }}$ | 129.79 | $133.25^{\mathrm{N}}$ | $129.68{ }^{\text {N }}$ | 130.12 | $133.99^{\text {N }}$ | $129.63{ }^{\text {N }}$ | 130.28 | $134.18^{\mathrm{N}}$ | $129.92^{\text {N }}$ | $\begin{array}{r} \mathrm{CCC} \text { or } \\ \mathrm{NCC} \end{array}$ |
| 10 | 671 | 130.33 | 130.56 | 130.18 | 128.16 | 128.05 | 127.23 | 133.69 | 134.07 | 131.87 | 134.13 | 134.58 | 132.94 | CCC |
| 11 | 712 | 122.34 | 121.70 | 122.77 | 127.77 | 126.17 | 127.17 | 122.12 | 120.07 | 121.13 | 123.01 | 121.11 | 122.86 | CCC |
| 12 | 23 H | 114.11 | 115.20 | 116.04 | 114.49 | 115.51 | 116.40 | 110.78 | 111.65 | 112.99 | 110.56 | 111.37 | 112.39 | CCH |
| 13 | 34H | 115.04 | 114.01 | 117.20 | 114.91 | 114.23 | 117.54 | 114.44 | 113.61 | 117.87 | 114.32 | 113.47 | 117.64 | CCH |
| $14^{c}$ | 45 H | 116.20 |  | $114.66{ }^{\text {N }}$ | 115.72 |  | $114.08^{\mathrm{N}}$ | 117.42 |  | $115.7^{\mathrm{N}}$ | 117.42 |  | $115.72^{\mathrm{N}}$ | $\begin{array}{r} \mathrm{CCH} \text { or } \\ \mathrm{CNH} \end{array}$ |
| 15 | 76H | 114.71 | 114.55 | 118.27 | 114.91 | 114.23 | 117.54 | 114.44 | 113.61 | 117.87 | 114.32 | 113.47 | 117.64 | CCH |
| 16 | 17H | 112.68 | 113.52 | 114.11 | 114.49 | 115.51 | 116.40 | 110.78 | 111.65 | 112.99 | 110.56 | 111.37 | 112.39 | CCH |

${ }^{a}$ Numbers refer to ring atoms $1-7$ according to the tropolone scheme in Figure 1A. Angle types are shown in the right-most column. ${ }^{b}$ Out-of-plane ( $Z$ coordinates) displacements for the atoms in molecules with $C_{2}$ symmetry ( $\mathrm{TpO}^{-}, 5 \mathrm{AzO}^{-}$, and 45Di) are shown in Figure $2 .{ }^{c}$ Superscript N in lines 7-9 and 14 indicates the angle incorporates an N atom.

CO distances are $0.040,0.038$, and $0.033 \AA$ longer, than for systems lacking the H . The $\mathrm{O} \cdots \mathrm{H}$ distance decreases by about $0.5 \AA$ upon formation of the SP (line 4).

Line 6 of Table 1 shows that the $\mathrm{C}(1)-\mathrm{C}(2)$ distance of 5Azt, like that for Tp , is virtually unchanged by SP formation. Lines $7-12$ show that there are very small changes of the CC and CN bond lengths upon SP formation for the systems without H -bond (where only the $\mathrm{O} \cdots \mathrm{O}$ and $\mathrm{C}(1)-\mathrm{C}(2)$ distances show noteworthy changes). Other than CN versus CC bonds, the geometries of $\mathrm{TpO}^{-}$and $5 \mathrm{AzO}^{-}$are similar. The $\mathrm{C}-\mathrm{C}$ bond distances in 45Di are longer, and the $\mathrm{C}=\mathrm{C}$ bond distances are shorter, than those in the anions. The CN bond distance in 45Di is $0.032 \AA$ longer than the average value in 5 Azt . 45 Di is the most twisted molecule (Figure 2C). Tables 1 and 2 show a clear
dispersion of bond distance and bond angle values among the 12 local PES regions. These computed dispersions are expected to reflect prospective experimental dispersions for the geometries and for other molecular properties.

The planar geometries computed for $\mathrm{Tp}, 5 \mathrm{Azt}$, and $5 \mathrm{AztH}{ }^{+}$ in the $S_{0}$ electronic state suggest that interactions due to H -bonding and to the $\pi$ resonance eclipse the opposing drives toward nonplanar structures. The latter drives include the relaxation of parallel CO bond energy by OCCO torsion and the relaxation of ring strain by kinking. The CC distances in lines 8 and 11 (nominal $C(3)-C(4)$ single and $C(6)=C(7)$ double bonds) reflect the presence of appreciable $\pi$ resonance interactions in the molecules with an H-bond. These MOcomputed bond distances differ by about $0.03 \AA$, far less that

## TABLE 3: Comparative Nonzero Electric Dipole ( $\boldsymbol{D}$ ) and Quadrupole ( $\boldsymbol{D} \cdot \AA$ ) Moment Components Listed with MP4(SDQ)/

 6-311++G(df,pd) Output|  |  | Tp | 5Azt | $5 \mathrm{AztH}^{+}$ | TpSP | 5AztSP | $5 \mathrm{AztH}^{+} \mathrm{SP}$ | $\mathrm{TpO}^{-}$ | $5 \mathrm{AzO}^{-}$ | 45Di | TpO-SP | $5 \mathrm{AzO}^{-} \mathrm{SP}$ | 45DiSP |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\overline{\mathrm{O} \cdots \mathrm{HO}}$ | $\overline{\mathrm{O} \cdots \mathrm{HO}}$ | $\overline{\mathrm{O} \cdots \mathrm{HO}}$ | OHO | OHO | OHO | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | $\mathrm{O} \cdots \mathrm{O}$ | O $\cdots \mathrm{O}$ |
|  |  | CH | N | NH | CH | N | NH | CH | N | NH | CH | N | CH |
|  | param. ${ }^{\text {a }}$ | $C_{s}$ | $C_{s}$ | $C_{s}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2}$ | $C_{2}$ | $C_{2}$ | $C_{2 v}$ | $C_{2 v}$ | $C_{2 v}$ |
| 1 | charge | 0 | 0 | +1 | 0 | 0 | +1 | -1 | -1 | 0 | -1 | -1 | 0 |
| 2 | dipole | 4.718 | 2.104 | 7.388 | 5.175 | 2.329 | 7.739 | 6.727 | 4.163 | 9.612 | 6.812 | 4.238 | 9.769 |
| 3 | $X^{b}$ | 3.676 | 2.032 | 5.761 |  |  |  |  |  |  |  |  |  |
| 4 | Y | -2.957 | -0.547 | -4.626 |  |  |  |  |  |  |  |  |  |
| 5 | Z |  |  |  | 5.175 | 2.329 | 7.739 | 6.727 | 4.163 | 9.612 | 6.812 | 4.238 | 9.769 |
| 6 | XX | -53.91 | -55.87 | -35.13 | -55.85 | -53.69 | -51.76 | -59.70 | -57.30 | -55.19 | -59.06 | -56.56 | -54.00 |
| 7 | YY | -49.58 | -53.28 | -30.41 | -49.54 | -47.43 | -38.02 | -63.59 | -61.13 | -49.55 | -64.09 | -61.69 | -50.44 |
| 8 | ZZ | -55.90 | -53.75 | -51.83 | -54.71 | -62.48 | -28.89 | -79.45 | -86.08 | -52.40 | -79.71 | -86.48 | -52.77 |
| 9 | XY | 1.60 | 6.50 | -5.77 |  |  |  | 2.38 | 2.66 | -3.12 |  |  |  |
| 10 | $Q_{\text {ave }}$ | -53.13 | -54.30 | -39.12 | -53.37 | -54.53 | -39.56 | -67.58 | -68.17 | -52.38 | -67.62 | -68.24 | -52.40 |
| 11 | $R$ | 0.119 | 0.048 | 0.548 | 0.118 | 0.276 | 0.578 | 0.293 | 0.422 | 0.108 | 0.305 | 0.438 | 0.068 |

${ }^{a} Q_{\text {ave }}=(X X+Y Y+Z Z) / 3$. For columns $3-5, Z$ is the out-of-plane axis. For columns $6-14, Z$ is the $C_{2}$ rotational axis. $R=\left(Q_{\max }-Q_{\min }\right) / Q_{\text {ave }}$, where $Q_{\max }$ is the largest and $Q_{\min }$ is the smallest of the $X X, Y Y$, and $Z Z$ values. ${ }^{b}$ The coordinate origins are at the nuclear center of charge within the heptacyclic ring. The origins and the axis orientations are available from the $\mathrm{C}(1)$ and $\mathrm{C}(2)$ atom positions: $\mathrm{Tp}(-1.139,0.142,0 ; 0,1.077,0)$. $\operatorname{TpSP}(0,0.736,-0.816 ; 0,-0.736,-0.816) .45 \operatorname{Di}(0.168,0.749,-0.972 ;-0.168,-0.749,-0.972) .45 \mathrm{DiSP}(0,0.771,-0.978 ; 0,-0.771,-0.978)$. The coordinate origins and axis orientations within each triad (e.g., for $\mathrm{Tp}, 5 \mathrm{Azt}$, and $5 \mathrm{AztH}^{+}$) are quite similar.
the $0.20 \AA$ difference obtained between the tabulated ${ }^{24}$ values used for "standard" CC single and double bonds $[R(\mathrm{C}-\mathrm{C})-$ $R(\mathrm{C}=\mathrm{C})=1.54-1.34=0.20 \AA]$. Comparative CC bond distances suggest that $\pi$ interactions in 5 Azt are weaker than those in Tp . Distances computed for nominal $\mathrm{C}=\mathrm{O}$ and $\mathrm{C}=\mathrm{C}$ bonds, respectively, of 45Di are near the standard values of 1.23 and $1.34 \AA$. The nominal $C-C$ distances $[C(2)-C(3)$ and $C(1)-$ $\mathrm{C}(2)]$ are 1.463 and $1.535 \AA$, respectively, versus the $1.54 \AA$ standard. The MO-computed CN distance in 45Di is $1.368 \AA$, a value $0.015 \AA$ longer than that observed for pyridine. Optimization at the MP2/6-311G(df,pd) level yields CN distances of 1.367 and $1.339 \AA$ for pyrrole and pyridine, respectively, with $\angle \mathrm{CNC}$ angles of $110.23^{\circ}$ and $116.59^{\circ}$. The CN bond distance of 45 Di is close to that in pyrrole, while the average of the two CN distances in 5 Azt is $0.003 \AA$ less than the computed CN distance in pyridine. The lack of an internal $H$-bond and the weakness of the computed $\pi$ resonance interactions allow nonplanar geometries to be induced for $\mathrm{TpO}^{-}$, $5 \mathrm{AzO}^{-}$, and 45 Di by the drives to relax ring strain and cis $\mathrm{C}=\mathrm{O}$ groups. However, the barrier maxima for their $C_{2 v}$ SPs are only $1-2 \mathrm{~kJ} \mathrm{~mol}^{-1}$ (vida infra). It is noted that minimum-energy geometries for 45Di computed at the B3LYP/6-31G** and MP2/ $6-31 \mathrm{G}^{* *}$ levels are not twisted, but planar with $C_{2 v}$ symmetry. Glyoxal and oxalyl fluoride both show primary trans and secondary cis energy minima at the MP4(SDQ)/6-311G(df,pd) level.

Geometrical parameters computed for the six stable isoelectronic configurations are expected to agree well with experimental measurements, but there are few opportunities for comparison. Tanaka et al. ${ }^{25}$ used microwave spectroscopy to evaluate accurate rotational constants for $\mathrm{Tp}(\mathrm{OH})$. The present MO-computed values, $A=2.7767, B=1.6592$, and $C=1.0386$ GHz , reflect the geometry at a PES minimum and are $+1.2 \%$ higher, $-0.04 \%$ lower, and $+0.41 \%$ higher, respectively, than the experimental values. The latter reflect the equilibrium geometry for the nonrigid $\mathrm{Tp}(\mathrm{OH})$ molecule at ambient temperature. In the crystal, the tropolone molecules are dimerized through intermolecular H-bonds, ${ }^{26}$ and the monomer geometry in this state differs somewhat from that in the gas phase. The average difference between the calculated (monomer) and crystalline (dimeric) bond distances is $0.009 \AA$ when the $\mathrm{C}(4)$ $\mathrm{C}(5), \mathrm{C}(4) \mathrm{H}$, and OH distances are excluded. For these, the MOcomputed distances are longer by $0.042,0.046$, and $0.05 \AA$,
respectively. In the crystal, the monomer is slightly nonplanar with the seven-membered ring bent into a weak boat configuration having $2.4^{\circ}$ and $1.2^{\circ}$ bending angles. The average difference between the computed monomer and the crystalline $\angle \mathrm{CCC}$ bond angles is $0.9^{\circ}$. This value is reduced to $0.5^{\circ}$ when angles defined using the $\mathrm{C}(1) \mathrm{C}(2)$ axis are excluded. For other angles involving the five-membered ring, cf., angles $\mathrm{O} 12,12 \mathrm{O}$, and 2OH (lines 2-4 in Table 2), the MO-computed monomer angles are $1.1^{\circ}, 4.0^{\circ}$, and $6.3^{\circ}$ smaller than observed in the crystal. The average difference of the calculated CCH angles in Table 2 and the crystallographic angles is $1.0^{\circ}$.

## 4. Electric Dipole and Quadrupole Moments

The relative charge distributions arising in the twelve isoelectronic systems affect not only the solvation free energy values discussed in the following section, but also many other inter- and intramolecular properties. Table 3 samples the diversiform charge patterns computed for the molecules as represented by the electric dipole and quadrupole moments accompanying the MP4(SDQ)6-311++G(df,pd) level outputs. Dipole components for the ions, and for all quadrupole components, depend on the origin of the coordinate systemhere, the center of nuclear charge computed for the "standard" coordinate system by the Gaussian ${ }^{23}$ code. For each triad of molecules in Table 3 (cf., Tp, 5Azt, 5AztH ${ }^{+}$, footnote b), the origins lie in a close cluster within the heptacyclic ring near the $\mathrm{C}(1)-\mathrm{C}(2)$ bond. $Z$ is the out-of-plane coordinate for Tp , 5 Azt , and $5 \mathrm{AztH}^{+}$. For these molecules, the $X Y$ system must be rotated counterclockwise by roughly $45^{\circ}$ about $Z$ to bring $Y$ into parallel with the fictive $C_{2}$ axis (see Figure 1E, left side). For the molecules with $C_{2}$ and $C_{2 v}$ geometries, $Z$ is the axis of twofold rotational symmetry and $X$ is the out-of-plane coordinate. For all twelve configurations, the dipole vector is directed toward the $\mathrm{C}(5)$ or $\mathrm{N}(5)$ region. The dipole moment magnitudes listed in line 2 of Table 3 range between 2.10 D for 5Azt and 9.77 D for 45DiSP.

The dipole moment relationship $\mu_{5 \mathrm{Azt}}=2.10<\mu_{\mathrm{Tp}}=4.72$ $\ll \mu_{45 \mathrm{Di}}=9.61 \mathrm{D}$ is independent of the coordinate origins and is anticipated from elementary arguments. The $\mathrm{O} \cdots \mathrm{HO}$ and N group dipoles of 5Azt are oppositely directed to subtract and predict $\mu_{5 \mathrm{Azt}}<\mu_{\mathrm{Tp}}$ (which lacks the N :), while the similarly directed $\mathrm{CO} \cdots \mathrm{CO}$ and NH group dipoles in 45Di add to predict $\mu_{45 \mathrm{Di}}>\mu_{\mathrm{Tp}}$. In 5 Azt , there is a roughly $30^{\circ}$ rotation of the $\mu_{5 \mathrm{Azt}}$

TABLE 4: Computed Energies $E(5 \mathrm{Azt})-\boldsymbol{E}(45 \mathrm{Di})\left(\mathrm{kJ} \mathrm{mol}^{-1}\right)$ for the Gas-Phase Isomerization Reaction 45Di $\rightarrow \mathbf{5 A z t}$

|  | $6-31 \mathrm{G}^{* *}$ | $6-31++\mathrm{G}^{* *}$ | GEN $^{a}$ | GEN++ | $6-311 \mathrm{G}(\mathrm{df}, \mathrm{pd})^{b}$ | $6-311++\mathrm{G}(\mathrm{df}, \mathrm{pd})^{c}$ |
| :--- | ---: | :---: | ---: | :---: | ---: | :---: |
| B3LYP | -23.16 | -19.08 | -15.25 | -14.46 | -14.56 | -14.08 |
| MP2 | -19.10 | -15.89 | -22.69 | -21.70 | -20.17 | -19.16 |
| MP3 | -9.77 | -7.66 | -11.26 | -11.29 | -7.93 | -8.00 |
| MP4(SD) | 1.02 | 3.26 | 0.16 | 0.25 | 3.76 | 3.04 |
| MP4(SDQ) | 0.77 | 3.79 | -0.47 | 0.47 | $3.91^{d}$ |  |

${ }^{a}$ The GEN basis is defined in section 2. ${ }^{b}$ The individual molecule energies (in $H$ ) in column 6 are $E(5 \mathrm{Azt})-E(45 \mathrm{Di}):(-436.94350778)-$ $(-436.93796251) ;(-435.88717726)-(-435.87949450) ;(-435.89915405)-(-435.89613211) ;(-435.89099667)-(-435.89243018) ;$ $(-435.91198271)-(-435.91313986) .{ }^{c}$ The individual molecule energies (in $H$ ) in column 7 are $E(5 \mathrm{Azt})-E(45 \mathrm{Di}):(-436.95486283)-$ $(-436.94950145) ;(-435.90470900)-(-435.89741220) ;(-435.91446992)-(-435.91142401) ;(-435.90623787)-(-435.90768303) ;$ $(-435.92747565)-(-435.92896306) .{ }^{d}$ The correction for the thermal contribution to the free energy at $25^{\circ} \mathrm{C}$ and 1 atm yields the estimate $\Delta G^{\circ}{ }_{\text {isom }, 298 \mathrm{~K}}=3.91+0.84=4.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$. See text.

TABLE 5: Computed Free Energies of Solvation, $\Delta G_{\text {solv,298K }}^{\mathbf{o}}\left(\mathbf{k J ~ m o l}^{-1}\right)$, for Isoelectronic Substances Dissolved in $\mathbf{H C C l}_{3}$

| theoretical level ${ }^{a}$ | 45 Di | 45 DiSP | 5 Azt | Tp | $5 \mathrm{AztH}^{+}$ | $\mathrm{TpO}^{-}$ | $5 \mathrm{AzO}^{-}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| B3LYP/GEN | -31.5 | -30.7 | -11.3 | -8.3 | -165.2 |  |  |
| B3LYP/6-311G(df,pd) | -32.1 | -32.3 | -8.5 | -7.6 | -165.3 |  |  |
| MP4(SDQ)/GEN | -36.3 | -37.0 | -11.8 | -11.8 | -169.8 | -168.0 |  |
| MP4(SDQ)/6-311G(df,pd) | -36.5 | -37.5 | -11.4 | -11.4 | -169.8 | -175.1 |  |
| MP4(SDQ)/6-311++G(df,pd) | -37.9 | -40.17 | -13.3 | -13.9 | -171.2 | -179.3 | -170.1 |

${ }^{a}$ Self-consistent reaction field (SCRF) method with the polarized continuum (overlapping spheres) model. ${ }^{29}$
dipole vector away from the fictive $C_{2}$ axis (cf., lines 3 and 4 of Table 3). The dipole moments are sensitive to the level of MO computation, and the values listed in Table 3 for Tp, 5Azt, $5 \mathrm{AztH}^{+}$, and 45 Di , for example, are $6.8 \%, 9.5 \%, 2.1 \%$, and $2.5 \%$ larger, respectively, than values computed without using the ++ diffuse functions.

The nonzero quadrupole moment components are listed in lines 6-9 of Table 3. These show the out-of-plane components ( $Z Z$ for $\mathrm{Tp}, 5 \mathrm{Azt}$, and $5 \mathrm{AztH}^{+}$and $X X$ for the other nine substances) are of similar magnitude. The spread mainly reflects the values computed for the oppositely charged ions (cf., -51.76 for $5 \mathrm{AzHH}^{+} \mathrm{SP}$ and -59.70 for $\mathrm{TpO}^{-}$). Average values for the three diagonal quadrupole components, $Q_{\text {ave }}$ in line 10 , and the quantities $R=\left(Q_{\max }-Q_{\min }\right) / Q_{\text {ave }}$ listed in line 11 , reflect the charge distributions. $R$ is zero for a spherical charge distribution and, except for 5AztSP, $R$ values computed for the neutral species are $<0.12$. Except for 5 Azt and $5 \mathrm{AztSP}, R$ values computed for the stable and SP configurations of each substance are similar. For 5Azt, $R=0.048$, and for 5AztSP, $R=0.276$ to indicate that a significant charge redistribution accompanies the transformation of 5 Azt to 5 AztSP . Not surprisingly, some Mulliken charges computed for the twelve isoelectronic systems appear to be inappropriate. They are not tabulated here, but the most suspect is for $\mathrm{C}(6)$. For this atom, the average charge of -0.617 falls between the extremes of -0.365 for TpSP and -0.779 for 5 Azt . The charge distributions for $\mathrm{Tp}, \mathrm{TpO}^{-}$, and $\mathrm{C}_{7} \mathrm{H}_{7} \mathrm{O}_{2}{ }^{+}$were considered by Mó et al. ${ }^{27}$ using computed charge densities, their Laplacians, and atoms-in-molecules theory. ${ }^{28}$

The high polarity and geometrical shape computed for 45Di are suggestive of solid-state packing producing a relatively high melting point, and Bonacorso et al. ${ }^{22}$ report that the observed value is higher than $280^{\circ} \mathrm{C}$. State-specific dipole moment values for gaseous Tp were determined by Tanaka et al. ${ }^{25}$ from Stark effect measurements on two low- $J$ rotational transitions for each of the $0^{+}$and $0^{-}$tunneling forms. The values are 3.428 and 3.438 D , significantly less than the computed value of 4.72 D listed in Table 3. The large MO-computed dipole moment for $45 \mathrm{Di}(9.61 \mathrm{D})$ is placed in perspective by a compilation ${ }^{24}$ of about 800 experimental values for gaseous molecules. The 4 or 5 D range is seen to be on the high side for ordinary organic molecules. An exceptionally large value is $5.78 \pm 0.11 \mathrm{D}$ (2-pyridinecarbonitrile) with a more typical value being 3.66
$\pm 0.11 \mathrm{D}$ (3-pyridinecarbonitrile). Only the dipole moments for some diatomic alkali halides exceed the MO-computed 9.61 D estimate for 45Di.

## 5. The Energy of Isomerization and Relative $\Delta G_{\text {solvation }}$ Values for 45Di and 5Azt

Bonacorso et al..$^{22}$ found no evidence for 5 Azt in ${ }^{1} \mathrm{H}$ and ${ }^{13} \mathrm{C}$ NMR spectra of 45Di dissolved in $\mathrm{DCCl}_{3}$, and the MO computations presented in this section agree with this observation. Theoretical energy values for the gas-phase isomerization reaction $45 \mathrm{Di} \rightarrow 5 \mathrm{Azt}$ are presented in Table 4. The correlation energy approximation is important to the results, and only at the MP4(SD) and MP4(SDQ) levels does the energy of 45Di fall below that of $5 \mathrm{Azt}-$ by up to about $4 \mathrm{~kJ} \mathrm{~mol}^{-1}$. For reference, the individual molecular energies (in hartrees) for columns 6 and 7 are included as footnotes to Table 4. As is customary for MPn computations, the MP2, MP3, MP4(SD), and MP4(SDQ) energy values oscillate. While the molecular energies for these 64-electron systems are not converged in these computations, the present objective is to estimate energy differences. The entries of Table 4 and subsequent tables indicate better convergence for the various differences than occurs for the molecular energies themselves. Unscaled harmonic MP2/ GEN-level frequencies (section 2) produce the zero-point (ZP) energy difference $\operatorname{EZP}(5 \mathrm{Azt})-\mathrm{EZP}(45 \mathrm{Di})=-0.23 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The thermal contribution of the translation, rotation, and vibration energies to the standard free energy for the gas-phase isomerization reaction is computed as $187.248-186.405=$ $0.84 \mathrm{~kJ} \mathrm{~mol}^{-1}$. Using this MP2/GEN-computed thermal correction value with the MP4(SDQ)/6-311++G(df,pd) electronic energy difference from Table 4 yields the estimate $\Delta G^{\circ}{ }_{\text {isom,298K }}$ $=3.91+0.84=4.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ for the standard free energy of the gas-phase isomerization reaction $45 \mathrm{Di} \rightarrow 5 \mathrm{Azt}$.

The disparity between the dipole moment values $\mu_{45 \mathrm{Di}}=9.61$ and $\mu_{5 \mathrm{Azt}}=2.10 \mathrm{D}$ leads to a large difference of $\mathrm{DCCl}_{3}$ solvation interactions. With the solvation interaction included, the free energy difference favoring 45Di over 5Azt increases to about $25 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at 298 K and 1 atm . The MO-computed estimates for the free energy of solvation are listed in columns 2 and 4 of Table 5. They were determined using the self-consistent reaction field (SCRF) method with the polarized continuum (overlapping spheres) model of Tomasi and co-workers. ${ }^{29}$ The computed

TABLE 6: Computed Energies ( $\mathrm{kJ} \mathrm{mol}^{-1}$ ) for the Protonation Reaction 45Di $+\mathbf{H}^{+} \rightarrow \mathbf{5 A z t H}{ }^{+}$and for Two Isomerization Reactions of $\mathbf{5 A z t H}{ }^{+}$

|  | protonation reaction ${ }^{a}$ |  |  | isomerization reation ${ }^{b}$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $E\left(5 \mathrm{AztH}^{+}\right)-E(45 \mathrm{Di})$ |  |  | $E\left(\mathrm{NC}_{6} \mathrm{H}_{4} \mathrm{O}_{2} \mathrm{H}_{2}^{+}\right)-E\left(5 \mathrm{AztH}^{+}\right)$ |  |  | $E\left({ }^{+} \mathrm{H}_{2} \mathrm{NC}_{6} \mathrm{H}_{4} \mathrm{O}_{2}\right)-E\left(5 \mathrm{AztH}^{+}\right)$ |  |  |
|  | $6-31++\mathrm{G}^{* *}$ | GEN++ | $6-311++\mathrm{G}(\mathrm{df}, \mathrm{pd})$ | 6-31G** | GEN | 6-311G(df,pd) | 6-31G** | GEN | 6-311G(df,pd) |
| B3LYP | -964.7 | -962.7 | -962.5 | 58.8 | 61.7 | 62.0 | 234.7 | 233.7 | 233.3 |
| MP2 | -950.5 | -961.1 | -957.0 | 51.2 | 50.1 | 51.1 | 207.9 | 217.9 | 214.0 |
| MP3 | -961.2 | -970.3 | -966.1 | 49.5 | 48.1 | 49.9 | 189.1 | 197.1 | 192.8 |
| MP4(SD) | -952.0 | -960.6 | -956.6 | 56.2 | 54.8 | 57.0 | 173.2 | 181.1 | 177.0 |
| MP4(SDQ) | -951.7 | -960.4 | $-956.4^{\text {c }}$ | 65.6 | 64.4 | 66.4 | 179.9 | 187.0 | 183.1 |

${ }^{a}$ The following sets list the protonation energies computed without including the ++ diffuse functions. $6-31 \mathrm{G}^{* *}$ column: $-969.0,-960.9$, $-975.5,-977.6,-978.7 \mathrm{~kJ} \mathrm{~mol}^{-1}$. GEN column: $-961.0,-955.5,-971.8,-973.8,-974.3 \mathrm{~kJ} \mathrm{~mol}^{-1} .6-311 \mathrm{G}(\mathrm{df}, \mathrm{pd})$ column: $-961.3,-953.7$, $-970.7,-973.2,-973.5 \mathrm{~kJ} \mathrm{~mol}^{-1} .{ }^{b}$ Note these basis sets exclude the ++ diffuse functions. The optimized geometry of $\mathrm{NC}_{6} \mathrm{H}_{4} \mathrm{O}_{2} \mathrm{H}_{2}{ }^{+}$has planar $C_{s}$ point group symmetry, and ${ }^{+} \mathrm{H}_{2} \mathrm{NC}_{6} \mathrm{H}_{4} \mathrm{O}_{2}$ is constrained to have $C_{2 v}$ point group symmetry. The MP2/GEN vibrational spectrum was not computed. At the B3LYP/6-311G(df,pd) level, there are two imaginary frequencies at the optimized geometry. ${ }^{c}$ For this reaction energy, the estimated standard enthalpy is $\Delta H^{\circ}{ }_{\text {react }, 298 \mathrm{~K}}=-926.8 \mathrm{~kJ} / \mathrm{mol}^{-1}$ yielding the proton affinity $\mathrm{PA}(45 \mathrm{Di})=926.8 \mathrm{~kJ} / \mathrm{mol}^{-1}$. See text.
results are thermodynamically consistent with the failure of Bonacorso et al. ${ }^{22}$ to observe the rise of 5Azt transitions in NMR spectroscopy experiments performed on 45Di dissolved in $\mathrm{DCCl}_{3}$. Results of the MP4 level computations in columns 2 and 3 of Table 5 suggest the possibility that 45Di may take planar rather than twisted configurations in highly polar solvents. The SCRF-computed free energies of solvation for $\mathrm{Tp}, 5 \mathrm{AztH}{ }^{+}$, $\mathrm{TpO}^{-}$, and $5 \mathrm{AzO}^{-}$dissolved in chloroform are included for reference in Table 5.

## 6. Protonation of $5 \mathrm{Azt}, 45 \mathrm{Di}, \mathrm{TpO}^{-}$, and $5 \mathrm{AzO}^{-}$

The protonation reactions of gaseous and solvated 5Azt and 45 Di are important considerations with respect to future experiments. Figures 1B and 2C show that protonation of the N atom of 5 Azt , or of an O atom of 45 Di , yields $(\mathrm{HN}) \mathrm{C}_{6} \mathrm{H}_{4}{ }^{-}$ $(\mathrm{OHO})^{+}$. This ion is labeled $5 \mathrm{AztH}^{+}$and is informally called 5-H-5-azatropolonium (Figure 1C). Table 6 presents electronic energy values computed for the gas-phase protonation reaction $45 \mathrm{Di}+\mathrm{H}^{+} \rightarrow 5 \mathrm{AztH}^{+}$. They are relatively insensitive to the level of MO computation, and at the MP4(SDQ)/6-311++G-(df,pd)//MP2/6-311++G(df,pd) level, the value for the reaction energy is $-956.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The energies for two higher-energy isomer configurations were also computed, and these values relative to that of $5 \mathrm{AztH}^{+}$are included in Table 6. For the highest-level computation, the $\mathrm{NC}_{6} \mathrm{H}_{4} \mathrm{O}_{2} \mathrm{H}_{2}{ }^{+}$isomer, with $\mathrm{HO} \cdot$ $\cdot \cdot \mathrm{HO}$ moiety and $C_{s}$ point group symmetry, is $+66.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ above $5 \mathrm{AztH}^{+}$. The ${ }^{+} \mathrm{H}_{2} \mathrm{NC}_{6} \mathrm{H}_{4} \mathrm{O}_{2}$ isomer, with ${ }^{+} \mathrm{H}_{2} \mathrm{~N}$ moiety and its geometry constrained to nonplanar $C_{2 v}$ symmetry, is $+183.1 \mathrm{~kJ} \mathrm{~mol}^{-1}$ above $5 \mathrm{AztH}^{+}$. At the B3LYP/6-311G(df,pd) level, the $C_{2 v}$ configuration corresponds to a second-degree saddle point with two imaginary vibrational frequencies rather than to an energy minimum.

Unscaled MP2/GEN-computed fundamentals yield 307.53 $270.90=36.6 \mathrm{~kJ} \mathrm{~mol}^{-1}$ as the ZP energy difference EZP$\left(5 \mathrm{AztH}^{+}\right)-\mathrm{EZP}(45 \mathrm{Di})$ of the protonation reaction. At this level, the thermal contribution to the enthalpy of the reaction is 328.88 $-293.06-5 R T / 2=29.61 \mathrm{~kJ} \mathrm{~mol}^{-1}$. In combination with the $-956.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ energy value, this yields $-926.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ as the estimated standard reaction enthalpy. The corresponding proton affinity for 45 Di is then $\mathrm{PA}(45 \mathrm{Di})=926.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at 298 K and 1 atm . The value for $5 \mathrm{Azt}, \mathrm{PA}(5 \mathrm{Azt})=921.5 \mathrm{~kJ}$ $\mathrm{mol}^{-1}$, is obtained similarly: $-(-952.5+328.88-291.72-$ $6.20)=+921.5 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The enthalpy for protonation of the N in 5Azt and for an O in 45Di are thus closely matched. These computed PA values compare well with values observed for related molecules. ${ }^{30}$ The value for $\mathrm{PA}(45 \mathrm{Di})$ is similar to the value observed for pyridine $-\mathrm{PA}($ pyridine $)=930.0 \mathrm{~kJ} \mathrm{~mol}^{-1}$-and

TABLE 7: Computed Gas-Phase Protonation Energies (kJ $\mathbf{m o l}^{-1}$ ) for $\mathbf{T p O} \mathbf{O}^{-}+\mathbf{H}^{+} \rightarrow \mathbf{T p}$ and $\mathbf{5 A z O}{ }^{-}+\mathbf{H}^{+} \rightarrow \mathbf{5} \mathrm{Azt}$

|  | $E(\mathrm{Tp})-E\left(\mathrm{TpO}^{-}\right)$ |  |  | $E(5 \mathrm{Azt})-E\left(5 \mathrm{AzO}^{-}\right)$ |
| :--- | :---: | :---: | :---: | :---: |
|  | $6-31++\mathrm{G}^{* *}$ | $6-311++\mathrm{G}(\mathrm{dff} \mathrm{pd})$ |  | $6-311++\mathrm{G}(\mathrm{df}, \mathrm{pd})$ |
| B3LYP | -1451.5 | -1451.2 |  | -1406.3 |
| MP2 | -1454.6 | -1462.3 |  | -1413.7 |
| MP3 | -1479.2 | -1484.2 |  | -1434.9 |
| MP4(SD) | -1474.2 | -1478.1 |  | -1428.1 |
| MP4(SDQ) | -1465.3 | $-1470.1^{a}$ | $-1421.2^{a}$ |  |

${ }^{a}$ Correction for the thermal contribution to the enthalpy at $25^{\circ} \mathrm{C}$ and 1 atm yields the estimated standard gas-phase enthalpies of reaction $\Delta H^{\circ}{ }_{\text {react }, 298 \mathrm{~K}}\left(\mathrm{TpO}^{-}\right)=-1439 \mathrm{~kJ} / \mathrm{mol}^{-1}$ and $\Delta H^{\circ}{ }_{\text {react }, 298 \mathrm{~K}}\left(5 \mathrm{AzO}^{-}\right)=$ $-1391 \mathrm{~kJ} / \mathrm{mol}^{-1}$ for these energy points. See text.
located in the midst of the observed values $\mathrm{PA}\left(\mathrm{NH}_{3}\right)=853.6$, $\operatorname{PA}\left[\left(\mathrm{CH}_{3}\right) \mathrm{NH}_{2}\right]=899.0, \mathrm{PA}\left[\left(\mathrm{CH}_{3}\right)_{2} \mathrm{NH}\right]=929.5$, and $\mathrm{PA}-$ $\left[\left(\mathrm{CH}_{3}\right)_{3} \mathrm{~N}\right]=938.9 \mathrm{~kJ} \mathrm{~mol}^{-1} . \mathrm{PA}(5 \mathrm{Azt})=921.5 \mathrm{~kJ} \mathrm{~mol}^{-1}$ is higher than the values generally observed for protonation of keto groups, ${ }^{30}$ e.g., $\mathrm{PA}(p$-benzoquinone $)=799.1, \mathrm{PA}(c$-hexane-$1,2$-dione $)=849.6, \mathrm{PA}(c$-hexane-1,3-dione $)=881.2$, but it is in line with $\mathrm{PA}(2,6$-dimethyl-4-pyrone $)=941.5 \mathrm{~kJ} \mathrm{~mol}^{-1}$, for which keto and ether O atoms are opposite across the sixmembered ring. For tropolone, the experimentally observed value $\mathrm{PA}(\mathrm{Tp})=894.1 \mathrm{~kJ} \mathrm{~mol}^{-1}$ was reported by Mó et al. ${ }^{27}$ The large proton affinity calculated for gaseous 45Di suggests forming $5 \mathrm{AztH}^{+}$via gas-phase or solution-phase protonation reactions of 45Di with suitable $\mathrm{H}^{+}$donors.

MO-computed energies for the gas-phase protonationneutralization reactions $\mathrm{TpO}^{-}+\mathrm{H}^{+} \rightarrow \mathrm{Tp}$ and $5 \mathrm{AzO}^{-}+\mathrm{H}^{+}$ $\rightarrow 5 \mathrm{Azt}$ are listed in Table 7. MP2/GEN-computed thermal contributions to the standard enthalpies are $322.00-(282+$ 3) $-6.20=31$ and $291.72-(253+3)-6.20=30 \mathrm{~kJ} \mathrm{~mol}^{-1}$, respectively, where $3 \mathrm{~kJ} \mathrm{~mol}^{-1}$ is added to the enthalpy thermal contribution for each reaction. This is because MP2/GENcomputed vibrational spectra for only the $C_{2 v}$ anion configurations were performed. These were thereby discovered to be SPs, and vibrational spectra were not computed for the lower-energy $C_{2}$ configurations. The difference of the thermal enthalpy contributions computed for 45 Di and 45 DiSP is $3 \mathrm{~kJ} \mathrm{~mol}^{-1}$, and this value was used as an estimate for correcting the ion enthalpies. The approximate standard reaction enthalpies are then -1439 and $-1391 \mathrm{~kJ} \mathrm{~mol}^{-1}$ for the gas-phase reactions at 25 ${ }^{\circ} \mathrm{C}$ and 1 atm . Reversing the signs yields estimated proton affinities for gaseous $\mathrm{TpO}^{-}$and $5 \mathrm{AzO}^{-}$that are of the same magnitude observed ${ }^{30}$ for the neutrals $\mathrm{Na}_{2} \mathrm{O}$ and $\mathrm{Cs}_{2} \mathrm{O}$, i.e., PA$\left(\mathrm{Na}_{2} \mathrm{O}\right)=1375.9$ and $\mathrm{PA}\left(\mathrm{Cs}_{2} \mathrm{O}\right)=1442.9 \mathrm{~kJ} \mathrm{~mol}^{-1}$. MO-

TABLE 8: Computed Saddle Point Barriers ( $\mathrm{kJ} \mathrm{mol}^{-1}$ ) for Tropolonoids with Internal H-Bonds

|  | $E(\mathrm{TpSP})-E(\mathrm{Tp})$ |  |  | $E(5 \mathrm{AztSP})-E(5 \mathrm{Azt})$ |  |  | $E\left(5 \mathrm{AztH}^{+} \mathrm{SP}\right)-E\left(5 \mathrm{AztH}^{+}\right)$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $6-31++\mathrm{G}^{* *}$ | GEN++ | $6-311++\mathrm{G}(\mathrm{df}, \mathrm{pd})$ | $6-31++\mathrm{G}^{* *}$ | GEN++ | 6-311++G(df,pd) | $6-31++\mathrm{G}^{* *}$ | GEN++ | 6-311G++(df,pd) |
| B3LYP | 21.29 | 22.67 | 22.69 | 21.90 | 22.98 | 23.06 | 24.26 | 24.67 | 24.67 |
| MP2 | 23.48 | 15.57 | 15.67 | 26.15 | 17.71 | 17.91 | 25.24 | 15.80 | 15.90 |
| MP3 | 39.84 | 30.26 | 30.39 | 42.05 | 32.13 | 32.28 | 40.23 | 29.01 | 29.11 |
| MP4(SD) | 42.47 | 33.20 | 33.31 | 44.46 | 34.91 | 35.02 | 41.62 | 30.93 | 30.98 |
| MP4(SDQ) | 38.06 | 29.58 | 29.70 | 39.35 | 30.71 | 30.80 | 36.42 | 26.99 | 27.00 |

TABLE 9: Computed Saddle Point Barriers ( $\mathrm{kJ} \mathrm{mol}^{-1}$ ) for $\mathbf{O} \cdots \mathrm{O}$ Tropolonoids

|  | $E\left(\mathrm{TpO}^{-} \mathrm{SP}\right)-E\left(\mathrm{TpO}^{-}\right)$ |  | $E\left(5 \mathrm{AzO}^{-} \mathrm{SP}\right)-E\left(5 \mathrm{AzO}^{-}\right)$ |  | $E(45 \mathrm{DiSP})-E(45 \mathrm{Di})$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $6-31++\mathrm{G}^{* *}$ | $6-311++\mathrm{G}(\mathrm{df}, \mathrm{pd})$ | $6-31++\mathrm{G}^{* *}$ | $6-311++\mathrm{G}(\mathrm{df}, \mathrm{pd})$ | $6-31++\mathrm{G}^{* *}$ | 6-311G++(df,pd) |
| B3LYP | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| MP2 | 0.00 | 1.34 | 0.00 | 1.35 | 1.97 | 2.00 |
| MP3 | 0.00 | 0.93 | 0.00 | 0.89 | 1.89 | 1.70 |
| MP4(SD) | 0.00 | 0.96 | 0.00 | 0.96 | 2.00 | 1.86 |
| MP4(SDQ) | 0.00 | 0.82 | 0.00 | 0.88 | 2.03 | 1.85 |

computed free energy of solvation estimates for the anions are included in Table 5.

## 7. PES Saddle Point Energy Maxima

The SP barrier energies $E(\mathrm{SP})-E(\mathrm{~min})$ computed for Tp , 5 Azt , and $5 \mathrm{AztH}^{+}$are listed in Table 8. At the highest utilized theoretical level, the SP barrier maxima computed for 5Azt and $5 \mathrm{AztH}^{+}$are 30.80 and $27.00 \mathrm{~kJ} \mathrm{~mol}^{-1}$, respectively-values 1.10 $\mathrm{kJ} \mathrm{mol}^{-1}(3.7 \%)$ higher and $2.70 \mathrm{~kJ} \mathrm{~mol}^{-1}(9.1 \%)$ lower than the $29.70 \mathrm{~kJ} \mathrm{~mol}^{-1}$ barrier computed for Tp . The similar molecular geometries, SP barriers, and vibrational spectra computed for $\mathrm{Tp}, 5 \mathrm{Azt}, 5 \mathrm{AztH}{ }^{+}$and their SP configurations suggest they possess similar skeletal contortion and tautomerization behaviors. However, the limited PES samplings are only first indicators of the vibrational state-dependent effective PESs, because the latter incorporate geometry-dependent vibrational energies for each state under consideration. The MO-computed SP barrier maxima computed for $\mathrm{TpO}^{-}, 5 \mathrm{AzO}^{-}$, and 45 Di are listed in Table 9. These particular results predict that the skeletal twisting is hindered by barriers of only 1 or $2 \mathrm{~kJ} \mathrm{~mol}^{-1}$ (e.g., $80-170 \mathrm{~cm}^{-1}$ ), a result that is realistically summarized by simply stating that quite high level MO computations can only predict that a low barrier (energy difference) separates the two conformers. When spectroscopic data are obtained to definitively settle the relative energetics question by experiment, it is likely that work by Laane and his group ${ }^{31}$ will be of interest in the analyses. They have addressed the development of molecular Hamiltonians and the analysis of contortion spectra for molecules showing puckering or pseudorotation motions.

## 8. Vibrational Spectra

Details of the comparative MP2/GEN-computed fundamental vibrational spectra and normal coordinates obtained for the twelve isoelectronic structures will be presented in a separate article. Energy states for the six nonrigid molecules are classified according to the $G_{4}$ molecular symmetry group, ${ }^{32}$ which is isomorphic to the $C_{2 v}$ point group. Thus, the $\mathrm{a}^{\prime}$ and $\mathrm{a}^{\prime \prime}$ vibrational fundamentals computed in harmonic approximation for the planar $C_{s}$ molecules, for example, are sorted into the in-plane $a_{1}$ and $b_{2}$ and the out-of-plane $a_{2}$ and $b_{1}$ irreducible representations of $G_{4}$. The fundamental frequencies and normal coordinates are useful progenitors for preparing a preliminary mapping of the anharmonic observed spectra of $\mathrm{Tp}(\mathrm{OH})$ and $\mathrm{Tp}(\mathrm{OD})$. As shown for malonaldehyde, the observed spectra can also be reasonably approached in nontunneling anharmonic approximation. ${ }^{33}$ More importantly, significant progress has been made
on multidimensional quantum mechanical or semiclassical vibration-contortion coupling treatments, as shown by applications to the tunneling malonaldehyde molecule in full 21D. ${ }^{34-36}$ A good start has also been made on multidimensional computations for tropolone. ${ }^{18}$ The presently computed harmonic spectra obtained for the twelve isoelectronic configurations allow a broad overview and correlation of the vibrational states. The data indicate, for example, that vibrations observed for Tp at 349.1 and $361.1 \mathrm{~cm}^{-1}$ should be assigned as $v_{14}\left(\mathrm{a}_{1}\right)$ and $v_{39}\left(\mathrm{~b}_{2}\right)$ instead of as the reverse, ${ }^{12,13,15}$ and that several of the previously considered tautomer-to-SP normal-mode correlations ${ }^{13,15}$ in the $\mathrm{a}_{1}$ symmetry block of Tp should be revised. The latter results are important to the estimation of vibrational state-specific tunneling barriers.

In addition to sketching out features of the effective PES, the normal coordinates are useful as first indicators for the degree of dynamical complexity that can be expected in vibrational state-specific tautomerization processes. This is because in certain vibrational excitations the pairs of identical atoms located across the molecule from one another may be required to exchange unequal vibrational amplitudes as a part of the tautomerization process. ${ }^{13}$ This exchange occurs in addition to the transfer of the labile H atom, and to the accompanying exchanges of bond character and associated atom displacements of the equilibrium coordinate values. The redistribution of intramolecular vibrational amplitudes in excited states is, in effect, a tautomerization-induced intramolecular vibrational energy rearrangement (IVR). For complex vibrational states, this dynamical process seems destined to increase the effective multidimensional tunneling path length, that is, to promote quenching of the tunneling process.

The MP2/GEN-computed harmonic fundamental vibrational spectrum of Tp appears in refs 12 and 16 , where it was used to assist the analysis of the data observed for $\mathrm{Tp}(\mathrm{OH})$ and Tp (OD). The harmonic spectra and PES properties computed for $5 \mathrm{Azt}, 5 \mathrm{AztH}^{+}$, and Tp bear sufficient similarities that readily resolvable vibrational state-specific tunneling doublets can be expected for all three molecules-not just for Tp .

## 9. Concluding Discussion

1. 1-H-azepine-4,5-dione, 45Di, has been synthesized, ${ }^{22}$ but few of its physical and chemical properties are known. The present MO computations suggest that in the gas phase 45Di has a twisted nonplanar geometry with energy minima at $C_{2}$ point group symmetry. The energy at the $C_{2 v}$ SP barrier maximum is computed to be only $1.85 \mathrm{~kJ} \mathrm{~mol}^{-1}\left(154 \mathrm{~cm}^{-1}\right)$
above the energy minima, thereby suggesting nonrigid dynamical behavior. The computed bond distances and bond angles roughly approximate standard values, and the nonplanar geometry of 45Di seems attributable to the relative weakness of its $\pi$ resonance interactions. The computed dipole moment of 9.6 $D$ is probably exaggerated, but its large magnitude implies a solid-state structure commensurate with a high melting point, and a value greater than $280^{\circ} \mathrm{C}$ is reported by Bonacorso et al. ${ }^{22}$ The predicted nonplanar geometry, nonrigid behavior, high polarity, and plural tentative oligomerization possibilities of 45Di suggest interesting prospective experimental studies on this substance in the gas phase or in solutions. Solvation interactions may, for example, stabilize planar geometry over twisted geometry (section 5). ${ }^{15} \mathrm{~N}$ NMR spectroscopy of 45Di dissolved in a carefully characterized $\mathrm{CDF}_{3} / \mathrm{CDF}_{2} \mathrm{Cl}$ mixed solvent may be of interest following the work of Shenderovich et al. ${ }^{37}$ on hydrogen-bonded complexes formed between collidine and HF or DF in this mixed solvent. The local electric field acting on the $\mathrm{F} \cdots \mathrm{H} \cdots \mathrm{N}$ and $\mathrm{F} \cdots \mathrm{D} \cdots \mathrm{N}$ hydrogen bond linkages was shown to be tunable through the temperature dependence of the dielectric constant of the mixed solvent. Thus, ${ }^{15} \mathrm{~N}$ NMR studies in a temperature range near 100 K were interpreted as implying a controllable transfer of the average H-bond linkage between the limiting $\mathrm{FH} \cdots \mathrm{N}$ and $\mathrm{F} \cdots \mathrm{HN}$ configurations. Studies of this type on 45Di could reveal details of its $\mathrm{N} \cdots \mathrm{H} \cdots \mathrm{O}$ linkages in linear dimers or oligomers, for example.
2. At the highest MO levels utilized in this work, the energy of gaseous 45Di is estimated to be about $4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ lower than that of its 5 Azt isomer. In polar solvents, the relative MOcomputed (SCRF) solvation free energies favor 45Di over 5Azt by about $25 \mathrm{~kJ} \mathrm{~mol}^{-1}$-to agree with the lack of any ${ }^{1} \mathrm{H}$ or ${ }^{13} \mathrm{C}$ NMR evidence for the formation of 5Azt in chloroform solutions of 45Di. However, the MO computations firmly suggest that 5Azt should be a stable substance existing in a well-defined double-minimum PES similar to that of Tp. The $C_{2 v}$ PES saddle points and harmonic vibrational spectra computed for Tp and 5 Azt are similar in their $\mathrm{S}_{0}$ electronic states, and their tautomerization properties are expected to match. When 5 Azt is synthesized, it may (like Tp with twice the MO-computed dipole moment value) be found to possess a vapor pressure allowing high-resolution spectroscopic studies of the gaseous molecule. The local PES regions for the 5Azt and 45Di isomers are wellseparated from one another on the $\mathrm{C}_{6} \mathrm{H}_{5} \mathrm{O}_{2} \mathrm{~N}$ global PES, and synthetic routes to produce 5Azt from 45Di via protonationdeprotonation reaction routes seem plausible.
3. The MO-computed geometry and other PES properties of 5AztH ${ }^{+}$resemble those obtained for Tp and 5Azt. This cation seems potentially obtainable through gas-phase or solution-phase protonations of 45Di in reactions that are amenable to spectroscopic monitoring. The MO-computed estimate for the proton affinity at $25^{\circ} \mathrm{C}$ and 1 atm is $\mathrm{PA}(45 \mathrm{Di})=926.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The deprotonation of 45Di in solution by chemical or electrochemical means could produce the $5 \mathrm{AzO}^{-}$anion which, like $\mathrm{TpO}^{-}$, is of potential interest as a chelator of metal ions. ${ }^{3,38}$
4. It is hoped that this computational research on six stable isoelectronic substances and their $C_{2 v} \mathrm{SP}$ configurations, 5 Azt , $5 \mathrm{AztH}^{+}, 5 \mathrm{AzO}^{-}$as yet unobserved in the laboratory, will encourage new attempts at their experimental syntheses and characterizations. In addition to the broad chemical and biochemical interest of these substances, the 5 Azt and $5 \mathrm{AztH}^{+}$ molecules are close homologues to tropolone. They are therefore likely to possess internal H-bonding dynamics capable (through the agency of high-resolution spectroscopy) of adding signifi-
cantly to the understanding of multidimensional tunneling dynamics in excited electronic states as well as in the $S_{0}$ ground electronic states.

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